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PATENT APPLICATION CH-7929 LeA 36,206

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN APPLICATION OF	)
FRANK BERENDES ET AL	)
SERIAL NO.: 10/669,424	)
FILED: SEPTEMBER 24, 2003	)
TITLE: PROCESS FOR PREPARING 3-HETEROARYL-3-HYDROXY-	)
PROPANOIC ACID DERIVATIVES	1

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(b)**

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

This disclosure statement under 37 CFR 1.97 & 1.98 is submitted before the first Office Action in order that the Patent and Trademark Office may consider the relevancy of certain information to the invention described and claimed in the subject application, and in compliance with the regulations concerning information disclosure statements. Enclosed are copies of the following:

a) the Search Report received in the corresponding application pending in the European Patent Office; and an English language translation thereof; and

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Alexandria, VA 22	313-1450	/8/12//	)4	
	) //	en No.	Date 38.641	
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•	66- at 111			
	Signature///			
August	12, 2004			
	Date (			

b) the documents cited by the European Patent Office in the Search Report (except the documents previously submitted) listed on the attached Form PTO-1449; or a corresponding English language Abstract listed on the attached Form PTO 1449.

This Information Disclosure Statement should not be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, that any reference mentioned herein constitutes prior art, or that the references listed, severally or in any combination with one another or with any other information, are believed to render any claim in the subject application prima facie unpatentable.

Respectfully submitted,

By Diderice van/Ev

Attorney for/Applicants

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CH-7929

611	Form PTO 1449 U.S. Department of Commerce Rev. 7-80 Patent and Trademark Office	Atty. Docket No. CH-7929/LeA 36,206	Serial No. 10/669,424	
	LIST OF PRIOR ART CITED BY APPLICANT (Use Several Sheets if Necessary)	Applicant Frank Berendes et al		
AUG 1	6 2004 75 (Use Several Sheets if Necessary)	Filing Date September 24, 2003	Group	

THATEXAMINER **U.S. PATENT DOCUMENTS** FILING DATE INITIAL IF APPRO-PRIATE **DOCUMENT NUMBER** DATE NAME CLASS **SUBCLASS** Bel. to corresp. to EP 1 153 919 6,515,134 02/04/03 Amano et al 546 315 Bel. to corresp. to EP 1 153 919 ΑB 2003/0130521 07/10/03 Amano et al 546 290 Bel. to corresp. to EP 1 153 919 AC 6,642,387 11/04/03 Amano et al 546 314 ΑD ΑE AF AG ΑН ΑI AJ ΑK

**FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER** DATE COUNTRY **CLASS SUBCLASS** TRANSLATION Yes No\* ΑL 03 078418 09/25/03 World (Abstract Attached) AM ΑN AO ΑP OTHER PRIOR ART (Including Author Title Date Pertinent Pages Etc.)

		OTHER PRIOR ART (Including Address, Date, Pertinent Pages, Etc.)
		FOGAGNOLO M ET AL: "Homochiral (R)- and (S)-1-heteroaryl- and 1-aryl-2-propanols via
	AR	microbial redox" TETRAHEDRON ASYMMETRY, ELSEVIER SCIENCE PUBLISHERS,
		AMSTERDAM, NL, Bd. 9, Nr. 13, 3. Juli 1998 (1998-07-03), Seiten 2317-2327, XP004131378
		ISSN: 0957-4166 *Tabelle 2*
		FURUICHI A ET AL: "THE USE OF MICROORGANISMS IN ORGANIC SYNTHESIS 5.
	AS	MICROBIOLOGICAL ASYMMETRIC REDUCTION OF METHYL-2-METHYL-3-2-THIENYL-3-OXO
1 1		PROPIONATE" CHEMICAL AND PHARMACEUTICAL BULLETIN (TOKYO), Bd. 32, Nr. 4, 1984,
		Seiten 1619-1623, XP001179422 ISSN: 0009-2363 *Abbildung 1*
		QUIROS M ET AL: "Enantioselective reduction of beta-keto amides by the fungus Mortierella
	AT	isabellina" TETRAHEDRON: ASYMMETRY, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM,
		NL, Bd. 8, Nr. 18, 25. September 1997 (1997-09-25), Seiten 3035-3038, XP004090508 ISSN:
		0957-4166 *Zusammenfassung* Tabelle 1, Produkt 2a-c
EXAM	IINER	DATE CONSIDERED
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EXAMINER Initial if references considered, whether or not citation is in conformance with MPEP 609: Draw line through if not in conformance and not considered. Include copy of this form with next communication to applicant.

Neither English Language Equivalent nor an English Language Translation is available.